OMB Control No.: 2127-0004

Part 573 Safety Recall Report

24E-067

Manufacturer Name: Cruise LLC **Submission Date:** AUG 19, 2024 NHTSA Recall No.: 24E-067 Manufacturer Recall No.: 24-01



Manufacturer Information:

Manufacturer Name: Cruise LLC

Address: 333 Brannan St

San Francisco CA 94107

Company phone: 415-335-4097

Population:

Number of potentially involved: 1,194 Estimated percentage with defect: 100 %

Equipment Information:

Brand / Trade 1: Cruise LLC

Cruise Automated Driving System ("ADS") software versions prior to the restart Model:

of supervised operations on May 14, 2024.

Part No.: N/A Size: N/A Function: N/A

Descriptive Information: The subject population includes the Cruise ADS software versions prior to the

restart of supervised operations on May 14, 2024. The population includes

Cruise's U.S. fleet of 1194 Cruise AVs.

Production Dates: JUN 01, 2021 - MAY 14, 2024

Description of Defect:

Description of the Defect: The Cruise ADS may, in rare circumstances involving vehicles or cyclists who

suddenly approach the rear of the AV, command an unexpected braking maneuver. In prior software versions, these unexpected braking maneuvers could occur if the ADS inaccurately predicts the immediate future path of the close-following actors, experiences diminished sensor precision from the close proximity of the close-following actors, or erroneously responds to a perceived

risk ahead of the AV unrelated to the rear actor.

FMVSS 1: NR FMVSS 2: NR

Description of the Safety Risk: Unexpected braking maneuvers may increase the risk of a rear-end collision

from a close-following road user.

Description of the Cause: NR Identification of Any Warning NR that can Occur:

Involved Components:

Component Name: NR
Component Description: NR
Component Part Number: NR

Supplier Identification:

Component Manufacturer

Name: Cruise LLC

Address: 333 Brannan Street

San Francisco California 94107

Country: United States

Chronology:

On December 12, 2022, NHTSA opened PE22-014 to investigate allegations of hard braking during certain events that Cruise reported to NHTSA under Standing General Order 2021-01. Specifically, NHTSA noted that it had received three reports of rear-end collisions with Cruise AVs in situations where the Cruise ADS initiated a hard braking maneuver in response to another road user that was quickly approaching from the rear. All three of the reports occurred while the Cruise AV was operating in supervised mode with an onboard human safety driver in the driver's seat.

Over the next eleven months, as part of PE22-014, Cruise responded to NHTSA's information requests, producing various documents and videos, and holding discussions with the Agency.

On January 29, 2024, Cruise met with NHTSA to discuss the status of PE22-014, including NHTSA's preliminary review of the materials and data Cruise provided in 2023. NHTSA also provided insight into the peer information requests sent to other AV companies related to hard braking.

On February 29, 2024, Cruise met with NHTSA and provided data demonstrating that the rate of occurrence for unexpected braking events in Cruise AVs is very low and much lower than a human driver based on relevant and available human-driver datasets. Cruise also provided data demonstrating that various Cruise ADS software updates had improved ADS braking performance resulting in a significant reduction in unexpected braking.

On May 9, 2024, Cruise met with NHTSA to follow-up on the discussion from February 29 regarding the low rate of occurrence of unexpected braking events and the effectiveness of Cruise's software improvements. Further, on July 17, 2024, Cruise met with NHTSA and NHTSA requested that Cruise file a safety recall to close

the PE based on software updates that Cruise had implemented. [continued on attached report]

Description of Remedy:

Description of Remedy Program: Software updates made throughout 2023 as well as during Cruise's pause

of operations, between October 26, 2023 and May 13, 2024, significantly reduced the risk of unexpected braking events with close-following road actors. These software updates improved components in several systems

of the ADS including perception, prediction, and planning.

How Remedy Component Differs The remedy includes new software updates.

from Recalled Component:

Identify How/When Recall Condition The prior ADS software installed in vehicles was updated with the newer

was Corrected in Production: software releases.

Recall Schedule:

Description of Recall Schedule: Cruise AVs have never been offered for sale to third parties and are solely

owned by Cruise or GM. As such, there are no owners or dealers to notify under 49 C.F.R. Parts 573 or 577 and part 577 is therefore inapplicable.

Planned Dealer Notification Date : NR - NR Planned Owner Notification Date : NR - NR

Purchaser Information:

The following manufacturers purchased this defective/noncompliant equipment for possible use or installation in new motor vehicles or new items of motor vehicle equipment:

Name: NR

Address: NR

NR

Country: NR

Company Phone: NR

^{*} NR - Not Reported