



U.S. Department of Transportation
**National Highway Traffic Safety
Administration**

1200 New Jersey Avenue SE
Washington, DC 20590

July 20, 2021

Mr. Joshua Chard
Director, Corporate and Product Safety
Altec Industries, Inc.
210 Inverness Center Drive
Birmingham, AL 35242

NEF-107MR
21V-512

Subject: Gearbox May Allow Boom to Move

Dear Mr. Chard:

This letter serves to acknowledge Altec Industries, Inc.'s notification to the National Highway Traffic Safety Administration (NHTSA) of a safety recall which will be conducted pursuant to Federal law for the product(s) listed below. Please review the following information to ensure that it conforms to your records as this information is being made available to the public. If the information does not agree with your records, please contact us immediately to discuss your concerns.

Makes/Models/Model Years:

ALTEC/D2000/2020-2021
ALTEC/D3000/2020-2021
ALTEC/D4000/2020-2021

Mfr's Report Date: July 7, 2021

NHTSA Campaign Number: 21V-512

Components:

EQUIPMENT:MECHANICAL:BOOM/CRANE/LADDER:HOSES/CABLES/RODS/GEARS/STRUT/
CYLINDER

Potential Number of Units Affected: 43

Problem Description:

Altec Industries, Inc. (Altec) is recalling certain 2020-2021 D2000, 3000, and 4000 Derrick diggers. A retaining ring in the gearbox assembly was improperly seated during production, allowing the boom to unintentionally rotate freely.

Consequence:

Unintended boom movement increases the risk of injury.

Remedy:

Altec will repair the gearbox assembly, free of charge. Owner notification letters are expected to be mailed on September 2, 2021. Owners may contact Altec's customer service at 1-877-462-5832. Altec's number for this recall is CSN 827.

Notes:

Owners may also contact the National Highway Traffic Safety Administration Vehicle Safety Hotline at 1-888-327-4236 (TTY 1-800-424-9153), or go to www.nhtsa.gov.



Please be reminded of the following requirements:

You are required to submit a draft owner notification letter to this office no less than five days prior to mailing it to the customers. Also, copies of all notices, bulletins, dealer notifications, and other communications that relate to this recall, including a copy of the final owner notification letter and any subsequent owner follow-up notification letter(s), are required to be submitted to this office no later than 5 days after they are originally sent (if they are sent to more than one manufacturer, distributor, dealer, or purchaser/owner).

Please be reminded that under 49 U.S.C. § 30112(a)(3), it is illegal for a manufacturer, to sell, offer for sale, import, or introduce or deliver into interstate commerce, a motor vehicle or item of motor vehicle equipment that contains a safety defect once the manufacturer has notified NHTSA about that safety defect. This prohibition does not apply once the motor vehicle or motor vehicle equipment has been remedied according to the manufacturer's instructions.

As stated in Part 573.7, submission of the first of six consecutive quarterly status reports is required within one month after the close of the calendar quarter in which notification to purchasers occurs. Therefore, the first quarterly report will be due on, or before, 30 days after the close of the calendar quarter.

Altec Industries, Inc.'s contact for this recall will be Michelle Rice who may be reached by email at michelle.rice@dot.gov. We look forward to working with you.

Sincerely,



Alex Ansley
Chief, Recall Management Division
Office of Defects Investigation
Enforcement