



Caterpillar Inc.
100 NE Adams Street
Peoria, Illinois 61629-9240

January 22, 2014

Ms. Jennifer Timian, Chief
Recall Analysis Division
National Highway Traffic Safety Administration
1200 New Jersey Avenue, S.E.
Washington, D.C. 20590

Dear Ms. Timian:

Reference Recall No. 13V-072

In accordance with the requirements of the National Traffic and Motor Vehicle Safety Act and the procedure described in 49 CFR 573.6, the third Quarterly Report is being furnished. Updated information is shown in bold font.

- 1) Date owner notification began:
 - a) First Mailing complete April 30, 2013
 - b) Second Mailing complete July 19, 2013
 - c) Third Mailing complete Oct. 11, 2013
- 2) The Defect Report identified 49 vehicles in the US, but 2 vehicles were never sold and one vehicle was sold in Canada. Thus the quantity in the US is 46 vehicles.
- 3) **36** vehicles have been remedied out of 46 => **78%** complete

Please contact me if there are any questions or additional requirements regarding this notification. (Please note that Thomas S. Lane has retired effective December 31, 2013).

Sincerely,

A handwritten signature in dark ink, appearing to read "Dean E. Buchholz".

Dean E. Buchholz
On-Highway Safety & Compliance Manager
Product Safety & Compliance

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