

Safety Defect and Noncompliance Report Guide for Vehicles  
**PART 573 Defect and Noncompliance Report<sup>3</sup>**

On May 3<sup>rd</sup> 2011, 2011, KTM [MFR] decided that (a defect which relates to motor vehicle safety) ~~(a noncompliance with Federal Motor Vehicle Safety Standard No.       )~~ exists in the motor vehicles listed below, and is furnishing notification to the National Highway Traffic Safety Administration in accordance with 49 CFR Part 573 Defect and Noncompliance Reports.

Date this report was prepared: May 10<sup>th</sup>, 2011

Furnish the manufacturer's identification code for this recall (if applicable): TBD

1. Identify the full corporate name of the fabricating manufacturer of the vehicle being recalled. If the recalled vehicle is imported, provide the name and mailing address of the designated agent as prescribed by 49 U.S.C. §30164.

KTM North America, Inc., 1119 Milau Ave., Amherst, OH 44001

Identify the corporate official, by name and title, whom the agency should contact with respect to this recall.

Viola Mader, Homologation/Dealer Compliance Coordinator

Telephone Number: (951) 600-8007 x 4135 Fax No.: (951) 600-8866

Name and Title of Person who prepared this report.

Viola Mader, Homologation/Dealer Compliance Coordinator

Signed: Viola Mader

<sup>3</sup>Each manufacturer must furnish a report, to the Associate Administrator for Safety Assurance, for each defect or noncompliance condition which relates to motor vehicle safety.

This guide was developed from 49 CFR Part 573, "Defect and Noncompliance Reports" and also outlines information currently requested. Any questions, please consult the complete Part 573 or contact Mr. Jon White at (202) 366-5227 or by FAX at (202) 366-7882.

**I. Identify the Vehicle Models Involved in the Recall**

2. Identify the Vehicles Involved in the Recall, for each make and model or applicable vehicle line (provide illustrations or photographs as necessary to describe the vehicle), provide:

Make(s): KTM Model Years Involved: 2010 Model(s): 690 Enduro R

Production Dates: Beginning: Nov. 2009 Ending: Apr. 2010

VIN Range: Beginning: see attached Ending: \_\_\_\_\_

Vehicle Type: Motorcycle Bodystyle: Street legal Enduro

Descriptive information which characterizes/distinguishes the recalled vehicles from those model vehicles not included in the recall:

Only certain VINs are affected, dealer can determine by entering VIN into system

Make(s): KTM Model Years Involved: 2011 Model(s): 690 Enduro R

Production Dates: Beginning: Apr. 2010 Ending: Nov. 2010 450 EXC, 530 EXC

VIN Range: Beginning: see attached Ending: \_\_\_\_\_

Vehicle Type: Motorcycle Bodystyle: Street legal Enduro, Dual Sport

Descriptive information which characterizes/distinguishes the recalled vehicles from those model vehicles not included in the recall:

see above

Make(s): HUSABERG Model Years Involved: 2011 Model(s): FE 570S, FS 570

Production Dates: Beginning: July 2010 Ending: Oct. 2010

VIN Range: Beginning: see attached Ending: \_\_\_\_\_

Vehicle Type: Motorcycle Bodystyle: Dual Sport, Supermoto

Descriptive information which characterizes/distinguishes the recalled vehicles from those model vehicles not included in the recall:

see above

Identify the approximate percentage of the production of all the recalled models manufactured by your company between the inclusive dates of manufacture provided above, that the recalled model population represents. For example, if the recall involved Widgets equipped with certain items of equipment from January 1, 1996 through April 1, 1997, then what was the percentage of the recalled Widgets of all Widgets manufactured during that time period. \_\_\_\_\_

**II. Identify the Recall Population**

3. Furnish the total number of vehicles recalled potentially containing the defect or noncompliance.

Model	Year	Number of Vehicles Potentially Involved
KTM 450 EXC	2011	301
KTM 530 EXC	2011	360
KTM 690 Enduro R	2011	43
KTM 690 Enduro R	2010	100
HUSABERG FE 570S	2011	299
HUSABERG FS 570	2011	125

Total Number Potentially Affected by the Recall: 1,228

4. Furnish the approximate percentage of the total number of vehicles estimated to actually contain the defect or noncompliance: 80% (20% have been remedied)

Identify and describe how the recall population was determined--in particular how the recalled models were selected and the basis for the beginning and final dates of manufacture of the recalled vehicles:

Defective handlebar clamps were used for production of affected units during the dates indicated respectively. From Nov. 24, 2010 onward, a different batch of handlebar clamps was used for production.

### III. Describe the Defect or Noncompliance

5. Describe the defect or noncompliance. The description should address the nature and physical location of the defect or noncompliance. Illustrations should be provided as appropriate.

Potential cracking of handlebar clamps which mount the handlebar into the upper triple clamps at the steering assembly on top of the forks.

Describe the cause(s) of the defect or noncompliance condition.

Variations in material quality and heat treatment process at the component supplier for batches used in series production.

Describe the consequence(s) of the defect or noncompliance condition.

May cause handlebar clamps to develop cracks allowing the handlebar to move from its set position.

Identify any warning which can (a) precede or (b) occur.

Visible cracks in handlebar clamps.

If the defect or noncompliance is in a component or assembly purchased from a supplier, identify the supplier by corporate name and address.

Neken, Z.A. de Eglantier, 49610 Murs Eglise, France

Identify the name and title of the chief executive officer or knowledgeable representative of the supplier:

Benoit Beaumont, CEO

**IV. Provide the Chronology in Determining the Defect/Noncompliance**

*If the recall is for a defect, complete item 6, otherwise item 7.*

6. With respect to a defect, furnish a chronological summary (including dates) of all the principle events that were the basis for the determination of the defect. The summary should include, but not be limited to, the number of reports, accidents, injuries, fatalities, and warranty claims.

7. With respect to a noncompliance, identify and provide the test results or other data (in chronological order and including dates) on which the noncompliance was determined.

Dec. 9, 2010: Manufacturer notifies FM about potential defect without safety concerns.

Dec. 21, 2010: Techn. Bulletin was issued to remedy defect

March 21, 2011: Customer reports defect via phone and written complaint with NHTSA.

March 24, 2011: Techn. Bulletin was revised to include more models.

**V. Identify the Remedy**

8. Furnish a description of the manufacturer's remedy for the defect or noncompliance. Clearly describe the differences between the recall condition and the remedy.

Replacement of both handlebar clamps. The replacement components have a punch dot as distinguishing sign on top.

Clearly describe the distinguishing characteristics of the remedy component/assembly versus the recalled component/assembly.

Replacement components are milled from billet material and reinforced to prevent cracking.

Identify and describe how and when the recall condition was corrected in production. If the production remedy was identical to the recall remedy in the field, so state. If the product was discontinued, so state.

Production remedy equals recall remedy.

**VI. Identify the Recall Schedule**

Furnish a schedule or agenda (with specific dates) for notification to other manufacturers, dealers/retailers, and purchasers. Please, identify any foreseeable problems with implementing the recall.

As soon as approved by NHTSA, start of notification to authorized dealers via internet and sending notification letters to customers.  
No problems are foreseeable at this time.

**VII. Furnish Recall Communications**

**9. Furnish a final copy of all notices, bulletins, and other communications that relate directly to the defect or noncompliance and which are sent to more than one manufacturer, distributor, or purchaser. This includes all communications (including both original and follow-up) concerning this recall from the time your company determines the defect or noncompliance condition on, not just the initial notification. A DRAFT copy of the notification documents should be submitted to this office by Fax (202-366-7882) for review prior to mailing.**

**Note that these documents are to be submitted separately from those provided in accordance with Part 573.8 requirements.**