

Safety Defect and Noncompliance Report Guide for Vehicles  
**PART 573 Defect and Noncompliance Responsibility and Reports**<sup>1</sup>

On March, 15, 2011, Kentucky Trailer [MFR] decided that a defect which relates to motor vehicle safety exists in the motor vehicles listed below, and is furnishing notification to the National Highway Traffic Safety Administration in accordance with 49 CFR Part 573 **Defect and Noncompliance Responsibility and Reports**.

Date this report was prepared: 4/15/2011\_\_\_\_\_

Furnish the manufacturer's identification code for this recall (if applicable): 11E-008\_\_\_\_\_

1. Identify the full corporate name of the fabricating manufacturer of the vehicle being recalled. If the recalled vehicle is imported, provide the name and **mailing address** of the designated agent as prescribed by 49 U.S.C. §30164.

Kentucky Trailer Manufacturing, 7201 Logistics Drive, Louisville, KY40258 Phone: 502-637-2551

Identify the corporate official, by name and title, whom the agency should contact with respect to this recall.

Ricky Richardson, Technical Fleet Sales Support / Warranty Manager [rrichardson@kytrailer.com](mailto:rrichardson@kytrailer.com)

Telephone Number: 502-637-2551 x 602 Fax No.: 502-635-1314

Name and Title of Person who prepared this report.

Dianna Raisor [draisor@kytrailer.com](mailto:draisor@kytrailer.com)

Sales Analyst 502-637-2551 x 699

Signed:

<sup>1</sup> Each manufacturer must furnish a report, to the Associate Administrator for Enforcement, for each defect or noncompliance condition which relates to motor vehicle safety.

**I. Identify the Vehicle Models Involved in the Recall**

**2. Identify the Vehicles Involved in the Recall, for each make and model or applicable vehicle line (provide illustrations or photographs as necessary to describe the vehicle), provide:**

Make(s): KY Trailer Model Years Involved: 2011 Model(s): Trailer

Production Dates: Beginning: 10-26-2010 Ending: 3-17-2011

VIN Range: Beginning: 1KKVA5322AL230089 Ending: 1KKVA5322AL230089

Vehicle Type: Semi Bodystyle: Trailer

**Descriptive information which characterizes/distinguishes the recalled vehicles from those model vehicles not included in the recall:**

Customer driven

Make(s): \_\_\_\_\_ Model Years Involved: \_\_\_\_\_ Model(s): \_\_\_\_\_

Production Dates: Beginning: \_\_\_\_\_ Ending: \_\_\_\_\_

VIN Range: Beginning: \_\_\_\_\_ Ending: \_\_\_\_\_

Vehicle Type: \_\_\_\_\_ Bodystyle: \_\_\_\_\_

**Descriptive information which characterizes/distinguishes the recalled vehicles from those model vehicles not included in the recall:**

Make(s): \_\_\_\_\_ Model Years Involved: \_\_\_\_\_ Model(s): \_\_\_\_\_

Production Dates: Beginning: \_\_\_\_\_ Ending: \_\_\_\_\_

VIN Range: Beginning: \_\_\_\_\_ Ending: \_\_\_\_\_

Vehicle Type: \_\_\_\_\_ Bodystyle: \_\_\_\_\_

**Descriptive information which characterizes/distinguishes the recalled vehicles from those model vehicles not included in the recall:**

**Identify the approximate percentage of the production of all the recalled models manufactured by your company between the inclusive dates of manufacture provided above, that the recalled model population represents. For example, if the recall involved Vehicles equipped with certain items of equipment from January 1, 1996 through April 1, 1997, then what was the percentage of the recalled Vehicles of all Vehicles manufactured during that time period.**

79.2% of total production

313 = Total units produced

65 = Not included in this recall

248 = Total effected by this recall

**II. Identify the Recall Population**

**3. Furnish the total number of vehicles recalled potentially containing the defect or noncompliance.**

<b>Model</b>	<b>Year</b>	<b>Number of Vehicles Potentially Involved</b>
Trailer	2011	248
<u>See full report attached</u>		

**Total Number Potentially Affected by the Recall:** 248

**4. Furnish the approximate percentage of the total number of vehicles estimated to actually contain the defect or noncompliance:** 100%

**Identify and describe how the recall population was determined--in particular how the recalled models were selected and the basis for the beginning and final dates of manufacture of the recalled vehicles:**

Kentucky Trailer received notification from Gunite Corporation of this Inspection Campaign on March 15, 2011 stating that a part we use on our trailers manufactured between 10/15/10 and 1/31/11 was part of this recall. We have pulled a list of all trailers manufactured between these dates to determine the population.

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**III. Describe the Defect or Noncompliance**

**5. Describe the defect or noncompliance. The description should address the nature and physical location of the defect or noncompliance. Illustrations should be provided as appropriate.**

Gunite Corporation decided that a defect exists in certain slack adjusters that contain housing that could potentially have a flow notch in the handle of the slack adjuster.

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**Describe the cause(s) of the defect or noncompliance condition.**

Vendor manufacturing

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**Describe the consequence(s) of the defect or noncompliance condition.**

Slack adjusters that have this flow notch could under normal braking load result in a broken housing that may cause the brake to be inoperative that could increase the stopping distance and possibly result in a vehicle crash.

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**Identify any warning which can (a) precede or (b) occur.**

Noticeable decrease in braking performance

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**If the defect or noncompliance is in a component or assembly purchased from a supplier, identify the supplier by corporate name and address.**

Gunite Corporation/Accuride Corporation, 7140 Office Circle, PO Box 15600, Evansville, IN 47716-0600

Phone: 812-962-5000

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**Identify the name and title of the chief executive officer or knowledgeable representative of the supplier:**

Bill Noll, VP, Corporate Quality

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**IV. Provide the Chronology in Determining the Defect/Noncompliance**

*If the recall is for a defect, complete item 6, otherwise item 7.*

**6. With respect to a defect, furnish a chronological summary (including dates) of all the principle events that were the basis for the determination of the defect. The summary should include, but not be limited to, the number of reports, accidents, injuries, fatalities, and warranty claims.**

**7. With respect to a noncompliance, identify and provide the test results or other data (in chronological order and including dates) on which the noncompliance was determined.**

See attached recall notification documents from Gunit Corporation

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**V. Identify the Remedy**

**8. A description of the manufacturer's program for remedying the defect or noncompliance. This program shall include a plan for reimbursing an owner or purchaser who incurred costs to obtain a remedy for the problem addressed by the recall within a reasonable time in advance of the manufacturer's notification of owners, purchasers and dealers, in accordance with §573.13 of this part. A manufacturer's plan may incorporate by reference a general reimbursement plan it previously submitted to NHTSA, together with information specific to the individual recall. Information required by §573.13 that is not in a general reimbursement plan shall be submitted in the manufacturer's report to NHTSA under this section. If a manufacturer submits one or more general reimbursement plans, the manufacturer shall update each plan every two years, in accordance with §573.13. The manufacturer's remedy program and reimbursement plans will be available for inspection by the public at NHTSA headquarters.**

See attached notification letter to our customers.

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**9. Furnish a description of the manufacturer's remedy for the defect or noncompliance. Clearly describe the differences between the recall condition and the remedy.**

Remedy is full replacement of the subjective part.  
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\_\_\_\_\_  
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**Clearly describe the distinguishing characteristics of the remedy component/assembly versus the recalled component/assembly.**

Please see the attached information from Gunite  
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\_\_\_\_\_

**Identify and describe how and when the recall condition was corrected in production. If the production remedy was identical to the recall remedy in the field, so state. If the product was discontinued, so state.**

Removed all defective product from production and continue to remove all defective product from our assemblies that have not been delivered.  
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**VI. Identify the Recall Schedule**

**10. Furnish a schedule or agenda (with specific dates) for notification to other manufacturers, dealers/retailers, and purchasers. Please, identify any foreseeable problems with implementing the recall.**

Identify all trailers that have the potential defective product.  
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Send letter to all effected customers.  
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## **VII. Furnish Recall Communications**

**11. Furnish a final copy of all notices, bulletins, and other communications that relate directly to the defect or noncompliance and which are sent to more than one manufacturer, distributor, or purchaser. This includes all communications (including both original and follow-up) concerning this recall from the time your company determines the defect or noncompliance condition on, not just the initial notification. A DRAFT copy of the notification documents should be submitted to this office by Fax (202-366-7882) or by E-Mail to [RMD.ODI@dot.gov](mailto:RMD.ODI@dot.gov) for review prior to mailing.**

**Note that these documents are to be submitted separately from those provided in accordance with Part 579.5 requirements.**