



Caterpillar Inc.
100 NE Adams Street
Peoria, Illinois 61629-9240

October 24, 2011

Ms. Jennifer Timian, Chief
Recall Analysis Division
National Highway Traffic Safety Administration
1200 New Jersey Avenue, S.E.
Washington, D.C. 20590

Dear Ms. Timian:

Reference Recall No. 10E-025

In accordance with the requirements of the National Traffic and Motor Vehicle Safety Act and the procedure described in 49 CFR 573.6, the fifth Quarterly Report is being furnished. **Updated content is shown in bold font.**

- 1) Date owner notification began:
 - a) First Mailing complete September 10, 2010
 - b) Second Mailing complete December 3, 2010
 - c) Third Mailing complete March 4, 2011
- 2) Per the OEM Contact List filed with the 573 Defect Report, the total US population was 5,920 with 1,503 sold to OEMs with Unknown customer addresses. There are 6,076 engines with known US addresses. Caterpillar has been notified by PACCAR that their intent was to notify NHTSA that the seven engines previously reported for Kenworth were for field test, however were never sold
- 3) A completion summary by OEM is provided on the second page.
- 4) **4,938** engines have been remedied out of 6,076 => **81.3% complete**

Please contact me if there are any questions or additional requirements regarding this notification.

Sincerely,

A handwritten signature in black ink, appearing to read "T. Lane" or "Tom Lane", written in a cursive style.

Thomas S. Lane
On-Highway Safety & Compliance Manager
Product Safety & Compliance

Phone (309) 675-6496
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<u>OEM</u>	<u>Population</u>	<u>Complete</u>	<u>Total % Complete by OEM</u>
BLUE BIRD BODY COMPANY	3201	2854	89.2%
FORD	2435	1768	72.6%
GENERAL MOTORS TRUCK GROUP	239	179	74.9%
KENWORTH	0	0	0.0%
NAVISTAR	1	0	0.0%
NAVISTAR (WORKHORSE)	25	18	72.0%
CAT DEALERS	150	111	74.0%
CAT	25	8	32.0%
TOTALS	6076	4938	81.3%

Tom Lane
10/24/2011