

# Safety Defect and Noncompliance Report Guide for Vehicles PART 573 Defect and Noncompliance Report<sup>3</sup>

On February 01, 2001, Ricon CORD [MFR] decided that (a defect which
relates to motor vehicle safety)(a noncompliance with Federal Motor Vehicle Safety Standard
No. 403) exits in the motor vehicles listed below, and is furnishing notification to the
National Highway Traffic Safety Administration in accordance with 49 CFR Part 573 Defect
and Noncompliance Reports.
Date this report was prepared: 05/06/10
Furnish the manufacturer's identification code for this recall (if applicable):
1. Identify the full corporate name of the fabricating manufacturer of the vehicle being recalled. If the recalled vehicle is imported, provide the name and <u>mailing address</u> of the designated agent as prescribed by 49 U.S.C. §30164.
Mobility WORKS
1090 W. Wilbert Rd.
AKRON, OHIO 44314
Identify the corporate official, by name and title, whom the agency should contact with
respect to this recall.
DENNIS SUMMERS
Vice-President-OPERATIONS
Telephone Number: 800-769-8267 Fax No.: 330-861-0281
Name and Title of Person who prepared this report.
DENNIS SUMMERS
Vice President - OPERATIONS
Signed:

This guide was developed from 49 CFR Part 573, "Defect and Noncompliance Reports" and also outlines information currently requested. Any questions, please consult the complete Part 573 or contact Mr. Jon White at (202) 366-5227 or by FAX at (202) 366-7882.

<sup>&</sup>lt;sup>3</sup>Each manufacturer must furnish a report, to the Associate Administrator for Safety Assurance, for each defect or noncompliance condition which relates to motor vehicle safety.

# I. Identify the Recalled Items of Equipment

applicable item of equipment product line (provide illustrations or photographs as necessary to	
describe the item of equipment), provide:	
Generic name of the item: Vehicle	
Make: FORD Model: E150	
Part Number: Ricon Size: 34" x 54"	
Function: Wheel chair Lift	
Other information which characterizes/distinguishes the items of equipment to be recalled:	
ALL UFTS MANUFACTURED AFTER November, 2007	_
Make: FORD Model: E250	
Make: FORD Model: E250  Part Number: LIFT Size: 34"x54"	
Function: Wheelchair Lift	
Other information which characterizes/distinguishes the items of equipment to be recalled:  ALL LIFTS MANUFACTURED AFTER NOVEMBER 2007	
ALL LIFTS MANUFACTURED AFTER NOVEMBER, 2007	_
Make: FORD Model: E350	-
Make: FORD Model: E350	_
Make: FORD Model: E350  Part Number: RICON Size: 34" x 54"	_
Make: FORD Model: E350	_
Make: FORD Model: E350  Part Number: RICON Size: 34" x 54"  Function: Wheel Chair Lift	_
Make: FORD Model: E350  Part Number: Ricon Size: 34" x 54"  Function: Wheel Chair Lift  Other information which characterizes/distinguishes the items of equipment to be recelled.	
Make: FORD Model: E350  Part Number: RICON Size: 34" x 54"  Function: Wheel Chair Lift	
Make: FORD Model: E350  Part Number: Ricon Size: 34" x 54"  Function: Wheel Chair Lift  Other information which characterizes/distinguishes the items of equipment to be recelled.	
Make: FORD Model: E350  Part Number: RICON Size: 34" x 54"  Function: Wheel Chair Lift  Other information which characterizes/distinguishes the items of equipment to be recalled:  ALL LIFTS MANUFACTURED AFTER NOVEMBER, 2007  Identify the approximate percentage of the production of all the recalled models manufactured	
Make: FORD Model: E350  Part Number: Ricon Size: 34" x 54"  Function: Whel Chair Lift  Other information which characterizes/distinguishes the items of equipment to be recalled: ALL LIFTS MANUFACTURED AFTER NOVEMBER, 2007  Identify the approximate percentage of the production of all the recalled models manufactured by your company between the inclusive dates of manufacture provided above, that the recalled	
Make: FORD Model: E350  Part Number: RICON Size: 34" x 54"  Function: Wheel Chair Lift  Other information which characterizes/distinguishes the items of equipment to be recalled:  ALL LIFTS MANUFACTURED AFTER NOVEMBER, 2007  Identify the approximate percentage of the production of all the recalled models manufactured	

Identify the approximate percentage of the production of all the recalled models manufactured by your company between the inclusive dates of manufacture provided above, that the recalled model population represents. For example, if the recall involved Widgets equipped with certain items of equipment from January 1, 1996, through April 1, 1997, then what was the percentage of the recalled Widgets of all Widgets manufactured during that time period.

## II. Identifying the Recall Population

3. Furnish the total number of items of equipment recalled potentially containing the defect or noncompliance.

Model	Year	Number of Items Potentially Involved
DE150	2008	19
ZE250	2008	39
1 E 350	2008	10
WE150	2009	22
O,E250	2009	56
E 350	2009	10

E 350	2009	10
Total Number Potentially Affected by Recall:	the 156	
4. Furnish the approximate percentage to actually contain the defect or nonco		of items of equipment estimated
Identify and describe how the recall p recalled models were selected and the		
of the recalled items of equipment: _ Recall determined by	ased on repe	orted field incident.
A switch component	inside the	buckle changed
in December, 2007	establishing	basis for
recall period.		
<b>Y</b>		

# III. Describe the Defect or Noncompliance

5. Describe the defect or noncompliance. The description should address the nature and
physical location of the defect or noncompliance. Illustrations should be provided as
appropriate.
DEFECT INVOlves AN INTERLOCK DELT ON IT Whoelchip
LATO USER MUST ENSURE DELT tonguE is
Full engaged in buckle or it muy unlock.
The state of the s
Describe the cover(s) of the Jefect
Describe the cause(s) of the defect or noncompliance condition.  H Switch Component Juside of the Judge
was topiced to change due to A
discontinuouse of the original switch
IN December 2007.
Describe the consequence(s) of the defect or noncompliance condition.
INDIVIDUAL MAY ROLL OF OND &
Platform UFT.
TUTTORPI CITTO
,
Identify any warning which can (a) precede or (b) occur.  MUST ENSURE TONGUE "Clicks" IN DUCKE,  FAILURE TO HOLD AUDIMES (VILL)
MUST ENSURE TONGUE "Clicks" IN DUCKLE.
FAILURE to HEAR AUdible dick.
_ THUR TO HELL ACCURE CLICK.
If the defect or noncompliance is in a component or assembly purchased from a supplier,
identify the supplier by corporate name and address.  The Restraint Delt and the Interlock Heets
Kepvinements in 49 CFR571.403 For A Performance
device. IteHock OPERATES CORRECTLY IF TONGUE 15
LATCHED IN the buckle this is A SNeft Polare defect
NOT A NON-CONFORMANCE.
Identify the name and title of the chief executive officer or knowledgeable representative of
the counties.
CRETARIAN - DUNCE

## IV. Provide the Chronology in Determining the Defect/Noncompliance

If the recall is for a defect, complete item 6, otherwise item 7.

6. With respect to a defect, furnish a chronological summary (including dates) of all the principle events that were the basis for the determination of the defect. The summary should include, but not be limited to, the number of reports, accidents, injuries, fatalities, and warranty claims.  TO CONTROL TO
Tologia in cologia Delasco Del Delasco de la companya de la compan
Defect is SAFORY RELATED NOT NON COMPLIANCE,
V. Identify the Remedy
8. Furnish a description of the manufacturer's remedy for the defect or noncompliance. Clearly describe the differences between the recall condition and the remedy.
RICON WILL FURBARK ON AN EDUCATIONAL  CAMPAIGN TO RAISE AWARENESS of this  POTENTIAL CONCERN. All AFECTED LIAS WILL  RECEIVE INSTRUCTIONAL AND WARNING
ANTENDAR CONCERNAL ALL ALECTED (1/25 WILL)
Receive INSTRUCTIONAL AND WARNING
DELAG.
Clearly describe the distinguishing characteristics of the remedy component/assembly versus the recalled component/assembly.
A Recalled component does NOT ADDIV.
WARNING decals And INSTRUCTIONAL
FNAMATION WILL DE PROVIDED,

production remedy was identical to the recall remedy in the field, so state. If the product was discontinued, so state.
SAME AS RECALL REMEDY.
VI. Identify the Recall Schedule
Furnish a schedule or agenda (with specific dates) for notification to other manufacturers, dealers/retailers, and purchasers. Please, identify any foreseeable problems with implementing the recall.
Hobility works Auticipates the Recall caughtyn to begin by JUNE 1, 2010. At that THE DEALERS AND END CUSTOMERS
will DE NOTHED.
VII. Furnish Recall Communications
9. Furnish a final copy of all notices, bulletins, and other communications that relate directly to the defect or noncompliance and which are sent to more than one manufacturer, distributor, or purchaser. This includes all communications (including both original and follow-up) concerning this recall from the time your company determines the defect or noncompliance condition on, not just the initial notification. A DRAFT copy of the notification documents should be submitted to this office by Fax (202-366-7882) for review prior

Note: These documents are to be submitted separately from those provided in accordance with Part 573.8 requirements.

to mailing.



Phone: 818.267.3000 Fax: 818.267.3001 www.Wabtec.com

November 3, 2009

Mr. Dan Smith Associate Administrator for Enforcement NHTSA - 215 1200 New Jersey Ave., SE Washington D.C. 20590

Subject: Reference: Part 573 Defect and Noncompliance Responsibility Report - Restraint belt buckle

Ricon S & K-series Public Use Platform Lifts, Ricon F9 Series Cassette lifts

Dear Sir:

On October 30, 2009, Ricon Corporation determined that a potential safety defect may be present in a component of motor vehicle equipment listed below, and is furnishing notification to the National Highway Traffic Safety Administration in accordance with 49 CFR Part 573 – Defect and Noncompliance Reports.

This report was prepared on November 2, 2009

The full corporate name of the fabricating manufacturer is:

Ricon Corporation A Division of Vapor Bus International 7900 Nelson Road Panorama City, CA 91402

The corporate officials that the agency should contact with respect to this recall are:

Stanton Saucier General Manager ssaucier@wabtec.com Phone 818 267-3016 Fax 818 267-3187 Oscar Pardinas V.P. of Sales & Marketing opardinas@wabtec.com Phone 818 267-3085 Fax 818 267-3155

Sincerely,

Oscar Pardinas

V.P. of Sales & Marketing

Phone: 818.267.3000 Fax: 818.267.3001 www.Wabtec.com

# Ricon Corporation Part 573 Defect and Noncompliance Responsibility Report

#### I - Identify the Recalled Items of Equipment

<ol> <li>Identify the Items of Equipment Involved in this Re</li> </ol>
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Ricon Public Use wheelchair lifts equipped with an interlocked restraint belt.

- a. There are several Model names for these platform lifts:
  - (1) "S" Series
  - (2) "K" Series
  - (3) "F9" Series
- b. The model numbers for the "S" Series lifts are:

S2003 S2005

02003

S2010

S5503

S5505

S5510

c. The model numbers for the "K" Series lifts are:

K2003

K2005

K2010

K5503

K5505

d. The model numbers for the "F9" Series lifts are:

F9A

F9T

F9T-F

e. The model number for "HCL" Series lifts is:

HCL

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#### II. Identify the Recall Population

- 2. **Identify the Recall Population:** All lifts models identified above, manufactured after November of 2007.
- 3. Approximate percentage of total wheelchair lifts estimated to actually contain the defect or noncompliance: 100 percent

#### III. Describe the Defect or Noncompliance

- 4. **Describe the defect:** The lift is equipped with a restraint belt. The restraint belt has an interlock feature. The design is such that the lift will not operate if the tongue is not fully inserted and latched in the buckle. However, if the tongue is not fully inserted and latched in the buckle, the lift will operate. Though the design of the Ricon restraint buckle has not changed in many years, a forced change due to the discontinuation of the original switch used in the buckle may be at the root of the current issue. The current switch is taller and therefore, engages sooner resulting in an increase in the distance between the switch engagement and buckle latching point. The switch change occurred in December 2007.
- 5. **Describe the cause(s) of the defect:** The operator does not fully engage the belt into the buckle. The lift can operate with the belt unlatched.
- 6. **Describe the consequence(s) of the defect:** If the tongue is not fully latched in the buckle, the lift can operate and a wheelchair may not be adequately protected against movement.
- 7. **Identify any warning which can (a) precede or (b) occur:** Failure to hear an audible click as the latching mechanism engages. If tugged the tongue will come out of buckle.
- 8. If the defect or noncompliance is in a component or assembly purchased from a supplier, identify the supplier by corporate name and address.

ELECTRONIC PROFESSIONAL DESIGN (EPD) 10118 SOPHIA AVE. NORTH HILLS CA 91343 818-421-8995

9. Identify the name and title of the chief executive officer or knowledgeable representative of the supplier:

Eric Tremblay - Owner

Phone: 818.267.3000 Fax: 818.267.3001 www.Wabtec.com

#### IV. Provide the Chronology in Determining the Defect/Noncompliance

10. With respect to a defect, furnish a chronological summary (including dates) of all the principle events that were the basis for the determination of the defect.

The summary should include, but not be limited to, the number of reports, accidents, injuries, fatalities, and warranty claims.

Ricon incorporated this design of an interlocked belt in May 1998, and continues to use it to date. Ricon has manufactured thousands of wheelchair lifts incorporating this interlocking belt design. The design works well when the operator ensures that the belt tongue is fully engaged and latched in the buckle. If the operator fails to fully engage and latch the belt tongue in the buckle, the lift will operate. The restraint belt design has been in use for almost 20 years. A switch component inside of the buckle was forced to change due to a discontinuance of the original switch in December 2007. The individual proceeded to roll off the end of the platform. This is the first and only reported incident of this type. On or about September 1, 2009, Ricon received a report that an individual in Austin, Texas, had powered backwards against the lift belt and the belt tongue came out of the buckle thus defeating the restraint belt. It is unknown whether or not the belt was fully engaged with the tongue latched inside the buckle.

11. With respect to a noncompliance, identify and provide the test results or other data (in chronological order and including dates) on which the noncompliance was determined.

The restraint belt and the interlock meets the requirement in 49CFR571.403 for a retention device. However, since the interlock operates correctly if the tongue is latched in the buckle, this is a safety-related defect, not a non-compliance.

#### V. Identify the Remedy

12. Furnish a description of the manufacturer's remedy for the defect or noncompliance. Clearly describe the differences between the recall condition and the remedy.

Since Ricon believes that this condition is preventable, Ricon will embark on an educational campaign to raise awareness of this potential condition. All affected lifts will receive instructional and warning decals.

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- 13. Clearly describe the distinguishing characteristics of the remedy component/assembly versus the recalled component/assembly.
  - a. Update all Operator manuals to alert operators to fully engage the belt tongue into the buckle with a "Click and Tug" on the belt prior to lift operation
  - b. Affix a warning on the belt to "Click and Tug" prior to lift operation
  - c. Edit operator's training video to include the "Click and Tug" campaign
- 14. Identify and describe how and when the recall condition was corrected in production. If the production remedy was identical to the recall remedy in the field, so state. If the product was discontinued, so state.

Same as recall remedy

#### VI. Identify the Recall Schedule

15. Furnish a schedule or agenda (with specific dates) for notification to other manufacturers, dealers/retailer, and purchasers. Please identify any foreseeable problems with implementing the recall.

Ricon Corporation anticipates the recall campaign will begin December 1, 2009. At that time, manufacturers and dealers will be notified of their responsibilities in coordinating the campaign and making remedies to the recall population.

#### VII. Furnish Recall Communications

16. Furnish Recall Communications: Attached for NHTSA review and approval.



### SAFETY RECALL NOTICE

## **END USER - DRAFT**

[Date]

[End User]

RE: Safety Standard Non-Compliance Recall Notification XXX-XXX

This notice is sent to you in accordance with the requirements of the National Traffic and Motor Vehicle Safety Act.

Dear [End User]:

Mobility Works has decided that a defect which relates to motor vehicle safety exists in the Ricon wheelchair lift installed in your vehicle.

#### ! IMPORTANT!

- > Your Ricon Wheelchair Lift is being recalled
- > Contact Ricon Corporation immediately

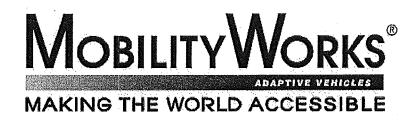
#### WHAT IS BEING RECALLED:

This recall applies to all DOT Public Use lift manufactured from December 1, 2007 to December 18, 2009 equipped with a restraint belt.

#### WHY IS IT BEING RECALLED:

The restraint belt on these lifts was designed to prevent the lift from moving, unless the tongue of the belt is fully engaged and latched in the buckle. We have discovered, however, that it is possible for the operator to insert the belt tongue into the buckle without fully engaging the latching mechanism. In this case, the restraint belt would appear to be latched, and the operator could run the lift.

If the restraint belt tongue is not fully engaged into the restraint belt buckle, and latched, the lift may operate with an occupant on the platform. Though it is incumbent on the operator to ensure that the belt is fully engaged and latched, failure to check this is within the realm of foreseeable misuse. This situation could cause personal injury.



#### WHAT YOU AS THE OWNER SHOULD DO:

Immediately instruct your drivers/operators to listen for the audible "Click" and subsequently "Tug" on the belt to ensure proper engagement and latching. This procedure is contained in the Operator's Manual and should become part of your standard operating instructions for using the Ricon wheelchair lift. Contact the Ricon Corporation and we will send you a warning decal and training aids. You can choose to have Ricon provide you with the warning decals directly or you can ask to be directed to the nearest Ricon dealer or repair agent. You can do so by calling Ricon Customer Service at (800)322-2884, emailing at <a href="www.dmata@wabtec.com">www.dmata@wabtec.com</a> or you can locate the nearest servicing dealer using the Ricon Dealer Locator on the Ricon website — <a href="www.riconcorp.com">www.riconcorp.com</a>

#### WHAT RICON CORPORATION WILL DO:

Ricon will ship you a warning decal and a DVD-based training aid to promote the "Click and Tug" campaign. Ricon will provide instruction on how to apply it to your Ricon wheelchair lift. You may also choose to have your nearest servicing Ricon dealer apply the decal at no cost to you. Ricon may provide another point of use materials to raise the awareness of "Click and Tug". If the lift is repaired or retrofitted by an authorized Ricon dealer and it is not completed within 3 business days, please notify Ricon Customer Support at the toll free number listed above.

If after attempting to have your vehicle repaired you believe you have not been able to have your vehicle remedied without charge and within a reasonable amount of time, you may submit a complaint to the Administrator, National Highway Traffic Safety Administration, 1200 New Jersey Ave., S.E., Washington, D.C., 20590; or call the toll-free Vehicle Safety Hotline at 1-888-327-4236 (TTY: 1-800-424-9153); or go to <a href="http://www.safercar.gov">http://www.safercar.gov</a>.

Thank you for your prompt attention to this matter. If you have any questions concerning these procedures please contact Ricon Customer Service at (800)322-2884, extension 3374 to speak to our Recall Coordinator, Daniel Mata, or by email at www.dmata@wabtec.com.

We apologize for any inconvenience this safety recall may cause, but your safety is our first concern.



Sincerely,

Mobility Works

Sincerely,

Oscar Pardinas
Vice President – Sales & Marketing
Ricon Corp.
On behalf of

Dennis Summers Vice President Operations Mobility Works