



Navistar, Inc.
3033 Wayne Trace
Fort Wayne, IN 46806 USA

P: 260-461-1890
W: navistar.com

R. L. Van Laar
Compliance Manager

October 5, 2009

Associate Administrator for Enforcement
National Highway Traffic Safety Administration
1200 New Jersey Avenue, SE
Washington D.C. 20590

Subject: Vehicle Defect Initial Information Report

Dear Associate Administrator:

Pursuant to Part 573.6 (c) (1), this Vehicle Defect Initial Information Report is submitted to the National Highway Traffic Safety Administration by Navistar, Inc, for its INTERNATIONAL[®] brand trucks.

(1) IDENTIFICATION OF VEHICLES [Part 573.6(c)(2)]

- Vehicle Models Involved:
 - MY 2003 thru 2008 INTERNATIONAL[®] 5500, 5600, 5900, 9200, 9400, and 9900 model series trucks.
- Vehicle Manufacturing Dates:
 - 4/1/2003 thru 12/21/2007
- Other Identification Necessary to Describe Vehicles:
 - Equipped with a Caterpillar C15 engine.

(2) COMPONENT MANUFACTURER [Part 573.6(c)(2)(iv)]

- This report relates only to a component supplied by Caterpillar Inc.
- Contact information is:

Thomas S. Lane
Engine Product Safety & Compliance Manager
Caterpillar Inc.
100 NE Adams Street
Peoria, IL 61629-9240
P 309-675-6496
F 309-636-2911

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OFFICE OF DEFECTS
INVESTIGATION

(3) VEHICLE POPULATION INVOLVED [Part 573.6 (c)(3)]

Model	Number of Suspect Vehicles		
	United States	Canada	Export
5500	37	4	0
5600	267	215	197
5900	751	202	1
9200	206	18	588
9400	6320	925	354
9900	1946	850	18
Total Vehicles	9527	2214	1203

(4) PERCENTAGE OF VEHICLES ESTIMATED TO CONTAIN THE DEFECT [Part 573.6 (c)(4)]

- 2% as reported by Caterpillar in its defect notice for recall 09E020.

(5) DESCRIPTION OF DEFECT [Part 573.6 (c)(5)]

- As reported by Caterpillar in its defect notice for recall 09E020, “The VVA Line may wear against the P-clips retaining the oil line, causing an oil leak, which may result in a fire hazard”.

(6) CHRONOLOGY OF PRINCIPAL EVENTS WHICH LED TO DETERMINATION OF A SAFETY DEFECT or TEST RESULTS THAT LED TO DETERMINATION OF NONCOMPLIANCE [Part 573.6 (c)(6)/(7)]

- 8/27/09 – Caterpillar notified Navistar of its intent to declare a safety recall. Caterpillar requests VIN/engine serial number cross reference information to assist in determining vehicle population.
- 9/1/09 – Navistar provides Caterpillar with the requested VIN/engine serial number cross reference information.

(7) PROGRAM TO REMEDY DEFECT [Part 573.6 (c)(8)]

- Caterpillar Inc. will administer the campaign, notify customers, supply remedy, and provide quarterly completion reports as outlined in their 09E020 defect report.

(8) PLAN FOR REIMBURSEMENT [Part 573.6 (c)(8)(i)]

- Because Caterpillar Inc. is administering the campaign, any plan for pre-remedy reimbursement will be handled them.

(9) SCHEDULE FOR RECALL NOTIFICATION [Part 573.6 (c)(8)(i)]

- As reported by Caterpillar in its defect notice for recall 09E020, “The first customer notification letter is expected to be mailed in June, 2009.”

(10) RECALL NUMBER [Part 573.6 (c)(11)]

- NHTSA: 09E020

The undersigned should be contacted for any additional information regarding this recall on (260) 461-1890.

Sincerely,



R. L. Van Laar
Compliance Manager
Navistar, Inc.

RV:ta