U.S. Department of Transportation
National Highway Traffic Safety Administration

NHTSA

July 27, 2026

SENT VIA EMAIL
RETURN RECEIPT REQUESTED

Curtis Carlson
Vice President, Dealer Services
Alliance RV
301 Benchmark Drive
Elkhart, Indiana 46516

AQ24-003

Subject: Information Request ID AQ24-003

Dear Mr. Carlson:

This letter is to inform you that the Office of Defects Investigation (ODI) of the National Highway Traffic Safety Administration (NHTSA) has opened an Audit Query (AQ24-003) to investigate allegations of violations of the National Traffic and Motor Vehicle Safety Act (Safety Act) 49 U.S.C. § 30120(i) in vehicles sold by dealerships under the Blue Compass RV franchise from 2019 to present, and to request certain information.

The Office of Defects Investigation has received a report that Blue Compass RV (Blue Compass) delivered at least one new vehicle to a customer without having all required recall repairs performed on that vehicle. Specifically, the information suggests repairs required under NHTSA recall number 23V-167 were not made prior to delivery of that new vehicle to its purchaser. The alleged vehicle is a 2023 Alliance RV Valor that was recalled on March 15, 2023, delivered on September 12, 2023, and repaired on December 10, 2023. A copy of the report will be provided to you electronically for your review and information.

A dealer's delivery of a new vehicle with a NHTSA recall outstanding is a serious matter and a violation of Federal law to which significant civil penalties could apply. See 49 U.S.C. §§ 30112(a), 30120(i), and 30165. This office has opened an audit query (AQ) investigation and is sending this information request in order to determine whether Blue Compass RV has complied with the prohibition on delivery of unremedied new vehicles subject to NHTSA recalls.

Unless otherwise stated in the text, the following definitions apply to these information requests:

- **Subject vehicles:** all vehicles manufactured by Alliance RV, LLC and sold by Blue Compass RV in the United States, including, but not limited to, the District of Columbia, and current U.S. territories and possessions.

- **Alleged defect:** any vehicle sold with unrepaired safety recalls in violation of 49 U.S.C. § 30120(i)

- **Alliance RV:** Alliance RV Company, all of their past and present officers and employees, whether assigned to their principal offices or any of their field or other locations, including all of their divisions, subsidiaries (whether or not incorporated) and affiliated enterprises and all of their headquarters, regional, zone and other offices and their employees, and all agents, contractors, consultants, attorneys and law firms and other persons engaged directly or indirectly (e.g., employee of a consultant) by or under the control of Alliance RV (including all business units and persons previously referred to), who are or were involved in any way as of January 1, 2018, with recalls of Alliance RV products.

- **Blue Compass RV:** Blue Compass RV, all dealerships owned or operated by Blue Compass RV, all of its past and present officers and employees, whether assigned to its principal offices or any of its field or other locations, including all of its divisions, subsidiaries (whether or not incorporated) and affiliated enterprises and all of their headquarters, regional, zone and other offices and their employees, and all agents, contractors, consultants, attorneys and law firms and other persons engaged directly or indirectly (e.g., employee of a consultant) by or under the control of Blue Compass (including all business units and persons previously referred to), who are or were involved in any way as of January 1, 2019, with recall repairs related to Alliance RV products.

- **Document:** "Document(s)" is used in the broadest sense of the word and shall mean all original written, printed, typed, recorded, or graphic matter whatsoever, however produced or reproduced, of every kind, nature, and description, and all non-identical copies of both sides thereof, including, but not limited to, papers, letters, memoranda, correspondence, communications, electronic mail (e-mail) messages (existing in hard copy and/or in electronic storage), faxes, mailgrams, telegrams, cables, telex messages, notes, annotations, working papers, drafts, minutes, records, audio and video recordings, data, databases, other information bases, summaries, charts, tables, graphics, other visual displays, photographs, statements, interviews, opinions, reports, newspaper articles, studies, analyses, evaluations, interpretations, contracts, agreements, jottings, agendas, bulletins, notices, announcements, instructions, blueprints, drawings, as-builts, changes, manuals, publications, work schedules, journals, statistical data, desk, portable and computer calendars, appointment books, diaries, travel reports, lists, tabulations, computer printouts, data processing program libraries, data processing inputs and outputs, microfilms, microfiches, statements for services, resolutions, financial statements, governmental records, business records, personnel records, work orders, pleadings, discovery in any form, affidavits, motions, responses to discovery, all transcripts, administrative filings and all mechanical, magnetic, photographic and electronic records or recordings of any kind, including any storage media associated with computers, including, but not limited to, information on hard drives, floppy disks, backup tapes, and zip drives, electronic communications, including but not limited to, the Internet and shall include any drafts or revisions pertaining to any of the foregoing, all other things similar to any of the foregoing, however denominated by Blue Compass, any other data compilations from which information can be obtained, translated if necessary, into a usable form and any other

documents. For purposes of this request, any document which contains any note, comment, addition, deletion, insertion, annotation, or otherwise comprises a non-identical copy of another document shall be treated as a separate document subject to production. In all cases where original and any non-identical copies are not available, "document(s)" also means any identical copies of the original and all non-identical copies thereof. Any document, record, graph, chart, film or photograph originally produced in color must be provided in color. Furnish all documents whether verified by Blue Compass or not. If a document is not in the English language, provide both the original document and an English translation of the document.

- **Other Terms:** To the extent that they are used in these information requests, the terms "claim," "consumer complaint," "dealer field report," "field report," "fire," "fleet," "good will," "make," "model," "model year," "notice," "property damage," "property damage claim," "rollover," "type," "warranty," "warranty adjustment," and "warranty claim," whether used in singular or in plural form, have the same meaning as found in 49 C.F.R.
§ 579.4.

In order for my staff to evaluate the allegation, certain information is required. Pursuant to 49 U.S.C. § 30166, please provide numbered responses to the following information requests. Insofar as Alliance RV has previously provided a document to ODI, Alliance RV may produce it again or identify the document, the document submission to ODI in which it was included and the precise location in that submission where the document is located. When documents are produced, the documents shall be produced in an identified, organized manner that corresponds with the organization of this information request letter (including all individual requests and subparts). When documents are produced and the documents would not, standing alone, be self-explanatory, the production of documents shall be supplemented and accompanied by explanation.

Please repeat the applicable request verbatim above each response. After Alliance RV's response to each request, identify the source of the information and indicate the last date the information was gathered.

1. State, by model and model year, the number of subject vehicles Alliance RV has sold or leased, or delivered for sale or lease to Blue Compass RV. Separately, for each subject vehicle, state the following:
    a. Vehicle identification number (17-character VIN);
    b. Make;
    c. Model;
    d. Model Year;
    e. Date of manufacture (MM/DD/YYYY);
    f. Date when the RV was originally sold or leased, or delivered for sale or lease to Blue Compass RV (MM/DD/YYYY);
    g. The name and address in the United States of the Blue Alliance RV dealership or franchise where the RV was originally sold or leased, or delivered for sale or lease (postal abbreviation);

h. Whether the RV was subject to a safety recall;
i. The associated NHTSA recall number (if applicable);
j. The date on which the recall remedy was performed on the RV; "Recall remedy," if not performed by Alliance RV, also refers to the inspection and repair procedures Alliance RV instructed Blue Compass to perform under the recall;
k. The name and address of the entity that performed the recall remedy on the RV (e.g., Alliance RV, Blue Compass RV)

Provide the table in Microsoft Excel, or a compatible format, entitled "SALES DATA".

Legal Authority for This Request

This letter is being sent to Blue Compass pursuant to 49 U.S.C. § 30166, which authorizes NHTSA to conduct any investigation that may be necessary to enforce Chapter 301 of Title 49 and to request reports. It constitutes a new request for information.

Civil Penalties

Blue Compass's failure to respond promptly and fully to this letter could subject Blue Compass to civil penalties pursuant to 49 U.S.C. § 30165 or lead to an action for injunctive relief pursuant to 49 U.S.C. § 30163. (Other remedies and sanctions are available as well.) The Vehicle Safety Act, 49 U.S.C. § 30165(a)(3), provides for civil penalties of up to $27,168 per violation per day, with a maximum of $135,828,178 for a related series of daily violations, for failing or refusing to perform an act required under 49 U.S.C. § 30166. See 49 C.F.R. § 578.6(a)(3). This includes failing to respond completely, accurately, or in a timely manner to ODI information requests.

If Blue Compass cannot respond to any specific request or subpart(s) thereof, please state the reason why it is unable to do so. If on the basis of attorney-client, attorney work product, or other privilege, Blue Compass does not submit one or more requested documents or items of information in response to this information request, Blue Compass must provide a privilege log identifying each document or item withheld, and stating the date, subject or title, the name and position of the person(s) from, and the person(s) to whom it was sent, and the name and position of any other recipient (to include all carbon copies or blind carbon copies), the nature of that information or material, and the basis for the claim of privilege and why that privilege applies.

Confidential Business Information

If Blue Compass's response contains any information that you claim is confidential business information, Blue Compass must request two secure electronic file transfer links from Matthew Brisson at matthew.brisson@dot.gov. One secure electronic file transfer link is for your request for confidential treatment and will be directed to NHTSA's Office of the Chief Counsel. Please see enclosure 1 for additional instructions on submitting a request for confidential treatment that is compliant with 49 C.F.R. Part 512 (specifically, a request for confidential treatment must include the four required parts that are discussed in enclosure 1).

The second secure electronic file transfer link is for your non-confidential response to this letter. Do not submit any confidential business information along with your non-confidential submission. Please refer to AQ24-003 in Blue Compass's response to this letter and in a request for confidential treatment that Blue Compass may submit.

Due Date

Blue Compass's response to this letter must be submitted to this office by May 6th, 2024. If Blue Compass finds that it is unable to provide all of the information requested within the time allotted, Blue Compass must request an extension from me at (202) 366-0399 no later than five business days before the response due date. If Blue Compass is unable to provide all of the information requested by the original deadline, it must submit a partial response by the original deadline with whatever information Blue Compass then has available, even if an extension has been granted.

If you have any technical questions concerning this matter, please call Matthew Brisson of my staff at (202) 366-0399.

Sincerely,

Alex Ansley, Chief
Recall Management Division
Office of Defects Investigation

Enclosure 1, Information for Requests for Confidential Treatment.

If you believe that your response contains any material that you claim is confidential business information, submit these materials to NHTSA's Office of the Chief Counsel in accordance with 49 C.F.R. Part 512. **All requests for confidential treatment must be submitted directly to the Office of the Chief Counsel. Upon request, ODI will provide you with a secure file transfer link for your submission to the Office of the Chief Counsel.**

Requests for confidential treatment are governed by Part 512. A current version of this regulation is available on the internet at http://www.ecfr.gov by selecting Title 49 "Transportation," selecting "Parts 500 – 599" and then selecting Part 512 "Confidential Business Information."

How to request confidential treatment:

NHTSA is currently treating electronic submission as an acceptable method for submitting confidential business information to the agency under Part 512. If you claim that any of the information or documents provided in your response constitutes confidential business information within the meaning of 5 U.S.C. § 552(b)(4), or are protected from disclosure pursuant to 18 U.S.C. § 1905, you must request a secure file transfer link from the ODI contact listed in your Information Request. ODI will copy a representative from the Office of the Chief Counsel on the secure file transfer link for your request for confidential treatment. You must submit supporting information together with the materials that are the subject of the confidentiality request, in accordance with Part 512, to the Office of the Chief Counsel. Do not send a hardcopy of a request for confidential treatment to NHTSA's headquarters.

Your request must include a request letter that contains supporting information, pursuant to Part 512.8. Your request must also include a certificate, pursuant to Part 512.4(b) and Part 512, Appendix A.

You are required to submit one unredacted "confidential version" of the information for which you are seeking confidential treatment. Pursuant to Part 512.6, the words "ENTIRE PAGE CONFIDENTIAL BUSINESS INFORMATION" or "CONFIDENTIAL BUSINESS INFORMATION CONTAINED WITHIN BRACKETS" (as applicable) must appear at the top of each page containing information claimed to be confidential. In the latter situation, where not all information on the page is claimed to be confidential, identify each item of information for which confidentiality is requested within brackets: "[ ]."

You are also required to submit one redacted "public version" of the information for which you are seeking confidential treatment. Pursuant to Part 512.5(a)(2), the redacted "public version" should include redactions of any information for which you are seeking confidential treatment (i.e., the only information that should be unredacted is information for which you are **not** seeking confidential treatment).

For questions about a request for confidential treatment, please contact Dan Rabinovitz in the Office of the Chief Counsel at Daniel.Rabinovitz@dot.gov or (202)366-8534.