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NVS-212mbs PE05-055

November 21, 2005

Thomas Z. Cooper, Chief Vehicle Integrity Division Office of Defects Investigation NHTSA Enforcement Room #5326 400 Seventh Street, S.W. Washington, D.C. 20590

Deer Mr. Cooper:

This letter is General Motors' (GM) partial response to your information request (IR), dated October 7, 2005, regarding alleged failure, malfunction, or deficient performance, whether constant or intermittent, of the operation of the turn signals in MY 2002 Chevrolet Malibu, Oldsmobile Alero, and Pontiac Grand Am vehicles.

During a telephone conversation on October 13, 2005, NHTSA clarified the alleged defect to be turn signal maifunction due to an inoperative hazard switch.

GM is providing responses for questions 1-6. GM requested and was granted an extension for questions 7-14.

Your questions and our corresponding replies are as follows:

- State, by model and model year, the number of subject vehicles GN has manufactured for sale or lease in the United States. Separately, for each subject vehicle manufactured to date by GM, state the following:
  - Vehicle identification number (VIN);
  - b. Make:
  - c. Model;
  - d. Model Year;
  - Date of manufacture;
  - f. Date warranty coverage commenced; and
  - g. The State in the United States where the vehicle was originally sold or lessed (or delivered for sale or lesse).

Provide the table in Microsoft Access 2000, or a competible format, entitled "PRODUCTION DATA."

GM is providing the production information requested in 1 (s-g) in Attachment 1 CD GM, folder labeled "Response for Q1 — PRODUCTION DATA" refer to the Microsoft Access file named "Production Data." The information provided in response to 1(g) is the delivery state.

VEHICLE	2002MY
Chevrolet Malbu	144,947
Pontise Grand Am	154,307
Oldsmobile Alero	79,373
Total	378,627

TABLE 1 PRODUCTION DATA SUMMARY



- State the number of each of the following, received by GM, or of which GM is otherwise aware, which rejets to, or may relate to, the alleged defect in the subject vehicles:
  - a. Consumer completets, including those from fleet operators;
  - Field reports, including dealer field reports;
  - c. Reports involving a crash, injury, or fatality, based on claims against the manufacturer involving a death or injury, notices received by the manufacturer alleging or proving that a death or injury was caused by a possible defect in a subject vehicle, property damage claims, consumer complaints, or field reports;
  - d. Property damage claims; and
  - Third-party arbitration proceedings where GM is or was a party to the arbitration;
     and
  - Lawsuite, both pending and closed, in which GM is or was a defendant or codefendant.

For subparts "a" through "d" state the total number of each item (e.g., consumer complaints, field reports, etc.) separately. Multiple incidents involving the same vehicle are to be counted separately. Multiple reports of the same incident are also to be counted separately (i.e., a consumer complaint and a field report involving the same incident in which a crash occurred are to be counted as a crash report, a field report and a consumer complaint).

in addition, for items "c" through "i," provide a summary description of the alleged problem and causal and contributing factors and GM's assessment of the problem, with a summary of the significant underlying facts and evidence. For items "e" and "i," identify the parties to the action, as well as the caption, court, docket number, and date on which the complaint or other document initiating the action was filed.

		Subcategories			
Type of Report	GM Ruportu	CORRES- PONDING TO NHTSA REPORTS	NUMBER WITH PROPERTY DAMAGE	Number With CRASH	NUMBER WITH INJURIES' FATALITIES'
Owner Reports	286	10	0	3	0
Field Reports	11	0	0	_ 0	0
Not-In-Suit Claims	a	<del></del>	0	0	0
Subrogation Claims	0	0	Ö	0	0
Third Party Arbitration Proceedings	0	0	0	0	0
Product Liability Lawsuits	0	<u> </u>	0		0
Total Reports (Including Duplicates)	297	10	0	3	0
Total Vehicles with Reports (Unique VIN)	292	10	a	3	0

TABLE 2-1: REPORT BREAKDOWN

<sup>\*</sup> GM HAS NO FATALITY REPORTS

To date, GM's investigation of the alleged defect has not included an assessment of the cause(s) of each incident responsive to Request No. 2. Some incident reports may not contain sufficient reliable information to accurately assess cause. Assessments of other incidents (from lewsuits and claims) may be attorney work product and/or privileged. Therefore, information and documents provided in this response, if any, consist only of non-attorney work product and/or non-privileged material for incidents that have been investigated and assessed.

SOURCE SYSTEM	LAST DATE GATHERED
Corporate Central File	10/13/2005
Customer Assistance Center	10/12/2005
Technical Assistance Center	10/20/2005
Early Quality Feedback (EQF)	10/11/2005
Field Information Network Database (FIND)	10/11/2005
Field Product Report Database (FPRD)	10/11/2005
Company Vehicle Evaluation Program (CVEP)	_ 10/11/2005
Ceptured Test Fleet (CTF)	10/11/2005
Legal / Employee Self Insured Services (ESIS)	10/10/2005

TABLE 2-2: DATA SOURCES

- Separately, for each item (complaint, report, claim, notice, or matter) within the ecope of your response to Request No. 2, state the following information:
  - GM's file number or other identifier used;
  - The category of the item, as identified in Request No. 2 (i.e., consumer complaint, field report, etc.);
  - c. Vehicle owner or fleet name (and fleet contact person), address, and telephone number;
  - d. Vehicle's VIN:
  - e. Vehicle's make, model and model year:
  - Vehicle's mileage at time of incident;
  - g. Incident date;
  - h. Report or claim date;
  - Whether a crash is alleged;
  - Whether property damage is alleged;
  - k. Number of elleged injuries, if any; and
  - Number of elleged fatalities, if any.

Provide this information in Microsoft Access 2000, or a competible format, entitled "REQUEST NUMBER TWO DATA

An electronic summary of the 297 records included in response to question 2 is provided on the CD identified as Attachment 1 CD; refer to the Microsoft Access 2000 file in the folder labeled "Response to Q3 – REQUEST NUMBER TWO DATA." GM has organized this summary by GM file number within each attachment.

4. Produce copies of all documents related to each item within the scope of Request No. 2. Organize the documents separately by category (i.e., consumer complaints, field reports, etc.) and describe the method GM used for organizing the documents.

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Copies of the 297 records identified in response to question 2 are provided on the Attachment 1 CD; refer to the folder labeled "Response to Q3 - REQUEST NUMBER TWO DATA." GM has organized the records by the GM file number within each attachment.

5. State, by model and model year, a total count for all of the following categories of claims, collectively, that have been paid by GM to date that relate to, or may relate to, the alleged defect in the subject vehicles: warranty claims; extended warranty claims; claims for good will services that were provided; field, zone, or similar adjustments and reimbursements; and warranty claims or repairs made in accordance with a procedure specified in a technical service bulletin or customer satisfaction campaign.

Separately, for each such claim, state the following information:

- a. GM's claim number;
- Vehicle owner or fleet name (and fleet contact person) and telephone number;
- c. VIN;
- d. Repair date;
- e. Vehicle mileage at time of repair;
- f. Repairing dealer's or facility's name, telephone number, city and state or ZIP code;
- g. Labor operation number;
- h. Problem code;
- Replacement part number(s) and description(s);
- Concern stated by customer; and
- k. Comment, if any, by dealer/technician relating to claim and/or repair.

Provide this information in Microsoft Access 2000, or a compatible format, entitled "WARRANTY DATA."

GM has searched for regular and extended warranty claims associated with the replacement of the hazard switch that may relate to the subject condition for the subject vehicles. GM is providing the information requested in 5(a-k) for the responsive claims in Attachment 1 CD, folder labeled "Response for Q5 – WARRANTY DATA" refer to the Microsoft Access file named "Warranty Deta,"

Table 5-1 summarizes the total number of regular warranty claims. Table 5-2 summarizes the total number of extended warranty claims.

Model	2002MY
Chevrolet Malibu	6,213
Poritiec Grand Am	2,693
Oklamobile Alero	2,843
TOTAL	10,849

TABLE 5-1: REGILAR WARRANTY CLAMS.

MCDEL	2002MY
Chevrolet Melibu	918
Pontiac Grand Am	1,318
Oldsmobile Alerd	1,367
TOTAL	3,601

TABLE 5-2: EXTENDED WARRANTY CLAMS

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GM searched the GM North America Claim Adjustment Retrieval Database (CARD-regular warranty), the Motors Insurance Corporation (MIC – extended warranty), and the Universal Warranty Corporation (UWC – extended warranty) databases to collect the warranty data for this response. The warranty data was last gathered on November 17, 2005.

GM's warranty database does not contain the vehicle owner's name or telephone number. Some of the replacement part numbers; part descriptions and customer concern code descriptions are not included in the GM warranty database. GM is providing a field lebeled "Verbatim Text" in response to request 6k (dealer/technicism comment). The verbatim text is an optional field in the GM warranty system for the dealer to enter any additional comments that may be applicable to the warranty claim. The verbatim text field required to be completed for every warranty claim.

The MiC extended warranty system does not contain the following Information: repairing dealer code, vehicle owner information, trouble code, trouble code description, part number, part description or verbation. The UWC extended warranty system does not use the GM labor code or lebor code description and it does not contain the repairing dealer code, trouble code or trouble code description.

The warranty data provided has limited analytical value in analyzing the field performance of a motor vehicle component. The warranty records do not contain sufficient information to establish the condition of the part at the time of the warranty correction; and service personnel may not consistently use the appropriate labor and trouble codes. Warranty numbers represent claims by our declare for reimbursement for parts and labor costs incurred in performing warranty service for our customers.

6. Describe in detail the search criteria used by GM to identify the claims identified in response to Request No. 5, including the labor operations, problem codes, part numbers and any other pertinent parameters used. Provide a list of all labor operations, labor operation descriptions, problem codes, and problem code descriptions applicable to the alleged defect in the subject vehicles. State, by make and model year, the terms of the new vehicle warranty coverage offered by GM on the subject vehicles (i.e., the number of months and mileage for which coverage is provided and the vehicle systems that are covered). Describe any extended warranty coverage option(s) that GM offered for the subject vehicles and state by option, model, and model year, the number of vehicles that are covered under each such extended warranty.

The regular warranty data was collected from the GM CARO database. The labor operation codes listed in Table 6-1 were used in this search of the GM warranty system. Any claims that clearly did not relate to the alleged defect based on review of the customer code and trouble code were removed and are not being provided.

Labor Code	Description
N1750	Flasher, Hazard Warning - Replace
N1755	Figsher, Hazard / Turn Signal — Raplace
N1760	Flasher, Turn Signal - Replace
N2356	Switch, Multifunction - Replace
N253Q	Switch - Replace Turn signal and Hazard Warning
N2540	Switch - Replace Hazard Wenting

TABLE 6-1: LABOR CODES USED FOR CARD & MIC SEARCHES

The UWC extended warranty data was collected by searching for the labor codes in Table 6-2.

Labor Code	Description
0780	Electrical - Turn Signal Switch
1298D	Bmpr2Bmpr - Flasher, Turn Signel
1296R	Bmpr2Bmpr - Switch, Hazard

TABLE 6-2: LABOR CODES USED IN UWC SEARCH

The subject vehicles are covered by a bumper-to-bumper new vehicle warranty for three years or 36,000 miles whichever occurs first. Many different extended warranty options are available through GM dealerships. They are offered at different prices and for varying lengths of time, based on customer's preference, up to 7 years from the date of purchase or up to a total of 100,000 vehicle miles. The General Motors warranty system does not contain information on the number of vehicles that have extended warranty coverage.



GM claims that certain information, in documents that are part of lawsuit and claims files maintained by the GM Legal Staff, is attorney work product and/or privileged. That information includes notes, memos, reports, photographs, and evaluations by attorneys (and by consultants, claims enelysts, investigators, and engineers working at the request of attorneys). GM is producing responsive documents from claims files that are neither attorney work product nor privileged, and withholding those that are attorney work product and/or privileged.

This response is based on searches of General Motors Corporation (GM) locations where documents determined to be responsive to your request would ordinarily be found. As a result, the scope of this search did not include, nor could it reasonably include, "all of its divisions, subsidiaries (whether or not incorporated) and affiliated enterprises and all of their headquarters, regional, zone and other offices and their employees, and all agents, contractors, consultants, attorneys and law firms and other persons engaged directly or indirectly (e.g., employee of a consultant) by or under the control of GM (including all business units and persons previously referred to), who are or, in or after 1997, were involved in any way with any of the following related to the alleged defect in the subject vehicles:

- "Design, engineering, analysis, modification or production (e.g. quality control);
- Testing, assessment or evaluation;
- "Consideration, or recognition of potential or actual defects, reporting, record-keeping and information management, (e.g., complaints, field reports, warranty information, part seles), enalysis, claims, or iswaults; or
- d. "Communication to, from or intended for zone representatives, fleets, deciers, or other field locations, including but not limited to people who have the capacity to obtain information from dealers."

This response was compiled and prepared by this office upon review of the documents produced by various GM locations, and does not include documents generated or received at those GM locations subsequent to their searches.

Please contact me if you require further information about this response or the nature or scope of our searches.

3ayP. Kent Director

Product Investigations

**Attachments** 



U.S. Deportment of Transportation **Matienal Highwo** 

National Highway Traffic Safety Administration

OCT 7 2005

400 Seventh Street, S.W. Washington, D.C. 20590

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## <u>CERTIFIED MAIL</u> <u>RETURN RECEIPT REQUESTED</u>

Gay P. Kent, Director Product Investigations General Motors Corporation Mail Code 480-111-E18 30200 Mound Road Warren, MI 48090-9010

NV\$-212mbs PE05-055

Dear Ms. Kent:

This letter is to inform you that the Office of Defects Investigation (ODI) of the National Highway Traffic Safety Administration (NHTSA) has opened a Preliminary Evaluation (PE05-055) to investigate allegations of any report of failure, malfunction, or deficient performance whether constant or intermittent, of the operation of the turn signals in MY 2002 Chevrolet Malibu, Oldsmobile Alcro, and Pontiac Grand Am manufactured by General Motors Corporation, and to request certain information.

This office has received 42 reports of any report of failure, malfunction, or deficient performance whether constant or intermittent, of the operation of the turn signals in MY 2002 Chevrolet Malibu, Oldsmobile Alero, and Pontiac Grand Am vehicles.

Unless otherwise stated in the text, the following definitions apply to these information requests:

- <u>Subject vahicles</u>: all MY 2002 Chevrolet Malibu, Oldsmobile Alero, and Pontiac Grand Am manufactured for sale or lease in the United States.
- Subject component: the hazard switch and its assembly including associated parts that
  affect or control the operation of the turn signal function (including but not limited to the
  visual and audible functions of the turn signal) on the subject vehicles.
- GM: General Motors Corporation, all of its past and present officers and employees, whether assigned to its principal offices or any of its field or other locations, including all of its divisions, subsidiaries (whether or not incorporated) and affiliated enterprises and all of their headquarters, regional, zone and other offices and their employees, and all agents, contractors, consultants, attorneys and law firms and other persons engaged directly or indirectly (e.g., employee of a consultant) by or under the control of GM actuding all business units and persons previously referred to), who are or, in or after



1997, were involved in any way with any of the following related to the alleged defect in the subject vehicles:

- Design, engineering, analysis, modification or production (e.g. quality control);
- Testing, assessment or evaluation;
- Consideration, or recognition of potential or actual defects, reporting, record-keeping and information management, (e.g., complaints, field reports, warranty information, part sales), analysis, claims, or lawsuits; or
- d. Communication to, from or intended for zone representatives, fleets, dealers, or other field locations, including but not limited to people who have the capacity to obtain information from dealers.
- Alleged defect: any report of failure, malfunction, or deficient performance whether constant or intermittent, of the operation of the turn signals.
- **Document:** "Document(s)" is used in the broadest sense of the word and shall mean all original written, printed, typed, recorded, or graphic matter whatsoever, however produced or reproduced, of every kind, nature, and description, and all non-identical copies of both sides thereof, including, but not limited to, papers, letters, memoranda, correspondence, communications, electronic mail (e-mail) messages (existing in hard copy and/or in electronic storage), faxes, mailgrams, telegrams, cables, telex messages, notes, annotations, working papers, drafts, minutes, records, audio and video recordings, data databases, other information bases, summaries, charts, tables, graphics, other visual displays, photographs, statements, interviews, opinions, reports, newspaper articles, studies, analyses, evaluations, interpretations, contracts, agreements, jottings, agendas, bulletins, notices, announcements, instructions, blueprints, drawings, as-builts, changes, manuals, publications, work schedules, journals, statistical data, desk, portable and computer calendars, appointment books, diaries, travel reports, lists, tabulations, computer printouts, data processing program libraries, data processing inputs and outputs, microfilms, microfiches, statements for services, resolutions, financial statements, governmental records, business records, personnel records, work orders, pleadings, discovery in any form, affidavits, motions, responses to discovery, all transcripts, administrative filings and all mechanical, magnetic, photographic and electronic records or recordings of any kind, including any storage media associated with computers, including, but not limited to, information on hard drives, floppy disks, backup tapes, and zip drives, electronic communications, including but not limited to, the Internet and shall include any drafts or revisions pertaining to any of the foregoing, all other things similar to any of the foregoing, however denominated by GM, any other data compilations from which information can be obtained, translated if necessary, into a usable form and any other documents. For purposes of this request, any document which contains any note, comment, addition, deletion, insertion, annotation, or otherwise comprises a non-identical copy of another document shall be treated as a separate document subject to production. In all cases where original and any non-identical copies are not available. "document(s)" also means any identical copies of the original and all non-identical copies thereof. Any document, record, graph, chart, film or photograph originally produced in color must be provided in color. Furnish all documents whether verified by GM or not.

If a document is not in the English language, provide both the original document and an English translation of the document.

Other Terms: To the extent that they are used in these information requests, the terms
"claim," "consumer complaint," "dealer field report," "field report," "fire," "fleet," "good
will," "make," "model," "model year," "notice," "property damage," "property damage
claim," "rollover," "type," "warranty," "warranty adjustment," and "warranty claim,"
whether used in singular or in plural form, have the same meaning as found in 49 CFR
579.4.

In order for my staff to evaluate the alleged defect, certain information is required. Pursuant to 49 U.S.C. § 30166, please provide numbered responses to the following information requests. Insofar as GM has previously provided a document to ODI, GM may produce it again or identify the document, the document submission to ODI in which it was included and the precise location in that submission where the document is located. When documents are produced, the documents shall be produced in an identified, organized manner that corresponds with the organization of this information request letter (including all individual requests and subparts). When documents are produced and the documents would not, standing alone, be self-explanatory, the production of documents shall be supplemented and accompanied by explanation.

Please repeat the applicable request verbatim above each response. After GM's response to each request, identify the source of the information and indicate the last date the information was gathered.

- State, by model and model year, the number of subject vehicles GM has manufactured for sale or lease in the United States. Separately, for each subject vehicle manufactured to date by GM, state the following:
  - Vehicle identification number (VIN);
  - b. Make:
  - c. Model:
  - d. Model Year;
  - e. Date of manufacture:
  - Date warranty coverage commenced; and
  - g. The State in the United States where the vehicle was originally sold or leased (or delivered for sale or lease).

Provide the table in Microsoft Access 2000, or a compatible format, entitled "PRODUCTION DATA."

- State the number of each of the following, received by GM, or of which GM is otherwise aware, which relate to, or may relate to, the alleged defect in the subject vehicles:
  - Consumer complaints, including those from fleet operators;
  - Field reports, including dealer field reports;
  - c. Reports involving a crash, injury, or fatality, based on claims against the manufacturer involving a death or injury, notices received by the manufacturer alleging or proving that

a death or injury was caused by a possible defect in a subject vehicle, property damage claims, consumer complaints, or field reports;

- d. Property damage claims; and
- e. Third-party arbitration proceedings where GM is or was a party to the arbitration; and
- f. Lawsuits, both pending and closed, in which GM is or was a defendant or codefendant.

For subparts "a" through "d" state the total number of each item (e.g., consumer complaints, field reports, etc.) separately. Multiple incidents involving the same vehicle are to be counted separately. Multiple reports of the same incident are also to be counted separately (i.e., a consumer complaint and a field report involving the same incident in which a crash occurred are to be counted as a crash report, a field report and a consumer complaint).

In addition, for items "c" through "f," provide a summary description of the alleged problem and causal and contributing factors and GM's assessment of the problem, with a summary of the significant underlying facts and evidence. For items "e" and "f," identify the parties to the action, as well as the caption, court, docket number, and date on which the complaint or other document initiating the action was filed.

- Separately, for each item (complaint, report, claim, notice, or matter) within the scope of your response to Request No. 2, state the following information:
  - a. GM's file number or other identifier used:
  - The category of the item, as identified in Request No. 2 (i.e., consumer complaint, field report, etc.);
  - Vehicle owner or fleet name (and fleet contact person), address, and telephone number;
  - d. Vehicle's VIN:
  - Vehicle's make, model and model year;
  - f. Vehicle's mileage at time of incident;
  - g. Incident date;
  - Report or claim date:
  - Whether a crash is alleged;
  - Whether property damage is alleged;
  - k. Number of alleged injuries, if any; and
  - Number of alleged fatalities, if any.

Provide this information in Microsoft Access 2000, or a compatible format, entitled "REQUEST NUMBER TWO DATA"

- 4. Produce copies of all documents related to each item within the scope of Request No. 2. Organize the documents separately by category (i.e., consumer complaints, field reports, etc.) and describe the method GM used for organizing the documents.
- 5. State, by model and model year, a total count for all of the following categories of claims, collectively, that have been paid by GM to date that relate to, or may relate to, the alleged defect in the subject vehicles: warranty claims; extended warranty claims; claims for good will services that were provided; field, zone, or similar adjustments and reimbursements; and

warranty claims or repairs made in accordance with a procedure specified in a technical service bulletin or customer satisfaction campaign.

Separately, for each such claim, state the following information:

- GM's claim number;
- b. Vehicle owner or fleet name (and fleet contact person) and telephone number;
- c. VIN:
- d. Repair date;
- Vehicle mileage at time of repair;
- f. Repairing dealer's or facility's name, telephone number, city and state or ZIP code;
- g. Labor operation number;
- h. Problem code;
- Replacement part number(s) and description(s);
- Concern stated by customer; and
- k. Comment, if any, by dealer/technician relating to claim and/or repair.

Provide this information in Microsoft Access 2000, or a compatible format, entitled "WARRANTY DATA."

- 6. Describe in detail the search criteria used by GM to identify the claims identified in response to Request No. 5, including the labor operations, problem codes, part numbers and any other pertinent parameters used. Provide a list of all labor operations, labor operation descriptions, problem codes, and problem code descriptions applicable to the alleged defect in the subject vehicles. State, by make and model year, the terms of the new vehicle warranty coverage offered by GM on the subject vehicles (i.e., the number of months and mileage for which coverage is provided and the vehicle systems that are covered). Describe any extended warranty coverage option(s) that GM offered for the subject vehicles and state by option, model, and model year, the number of vehicles that are covered under each such extended warranty.
- 7. Produce copies of all service, warranty, and other documents that relate to, or may relate to, the alleged defect in the subject vehicles, that GM has issued to any dealers, regional or zone offices, field offices, fleet purchasers, or other entities. This includes, but is not limited to, bulletins, advisories, informational documents, training documents, or other documents or communications, with the exception of standard shop manuals. Also include the latest draft copy of any communication that GM is planning to issue within the next 120 days.
- 8. Describe all assessments, analyses, tests, test results, studies, surveys, simulations, investigations, inquiries and/or evaluations (collectively, "actions") that relate to, or may relate to, the alleged defect in the subject vehicles that have been conducted, are being conducted, are planned, or are being planned by, or for, GM. For each such action, provide the following information:
  - a. Action title or identifier:
  - The actual or planned start date;
  - c. The actual or expected end date;
  - Brief summary of the subject and objective of the action;

- Engineering group(s)/supplier(s) responsible for designing and for conducting the action;
   and
- f. A brief summary of the findings and/or conclusions resulting from the action.

For each action identified, provide copies of all documents related to the action, regardless of whether the documents are in interim, draft, or final form. Organize the documents chronologically by action.

- 9. Describe all modifications or changes made by, or on behalf of, GM in the design, material composition, manufacture, quality control, supply, or installation of the subject component, from the start of production to date, which relate to, or may relate to, the alleged defect in the subject vehicles. For each such modification or change, provide the following information:
  - The date or approximate date on which the modification or change was incorporated into vehicle production;
  - b. A detailed description of the modification or change;
  - The reason(s) for the modification or change;
  - The part numbers (service and engineering) of the original component;
  - The part number (service and engineering) of the modified component;
  - f. Whether the original unmodified component was withdrawn from production and/or sale, and if so, when;
  - g. When the modified component was made available as a service component; and
  - Whether the modified component can be interchanged with earlier production components.

Also, provide the above information for any modification or change that GM is aware of which may be incorporated into vehicle production within the next 120 days.

- 10. State the number of each of the following that GM has sold that may be used in the subject vehicles by component name, part number (both service and engineering/production), model and model year of the vehicle in which it is used and month/year of sale (including the cut-off date for sales, if applicable):
  - a. Subject component; and
  - Add any further requests or delete all, including requests for similar or substantially similar components; and
  - c. Any kits that have been released, or developed, by GM for use in service repairs to the subject component/assembly.

For each component part number, provide the supplier's name, address, and appropriate point of contact (name, title, and telephone number). Also identify by make, model and model year, any other vehicles of which GM is aware that contain the identical component, whether installed in production or in service, and state the applicable dates of production or service usage.

11. In response to EA02-037 GM informed ODI that the hazard switch was modified by supplier Stoneridge-Pollak Ltd. on March 1, 2001. These changes included: introduced strict FIFO and process flow to limit shelf life of post-plated grids prior to final assembly; incorporated a scaling copper barrier under final silver layer of grid; revised and updated solder paste control process: increase quantity, of solder paste with 0.8 diameter needle; better control of position of solder paste; and revised oven profile for tighter temperature tolerance and control. Provide a timeline for bringing these modified parts into production vehicles. Identify those subject vehicles by VIN that received the modified parts. Identify those vehicles in the 42 complaints received by NHTSA that contain the modified parts. Identify all vehicles responsive to question 2 of this letter that contain the modified parts.

- 12. Explain the shipping and processing of the hazard switch from the supplier to the final assembly plant and explain the assembly line procedures for installation of the hazard switch in each factory and or each production line for the subject vehicles.
- 13. Provide reason for ODI receiving complaints alleging failure of turn signals and hazard lights after the hazard switch was modified with changes to prevent failure of the turn signals and hazard lights.
- 14. Furnish GM's assessment of the alleged defect in the subject vehicle, including:
  - The causal or contributory factor(s);
  - The failure mechanism(s);
  - c. The failure mode(s);
  - d. Why subject vehicles that contain the modified parts (refer to question 11) are reported to experience the alleged defect;
  - e. The risk to motor vehicle safety that it poses;
  - f. What warnings, if any, the operator and the other persons both inside and outside the vehicle would have that the alleged defect was occurring or subject component was malfunctioning; and
  - g. The reports included with this inquiry.

This letter is being sent to GM pursuant to 49 U.S.C. § 30166, which authorizes NHTSA to conduct any investigation that may be necessary to enforce Chapter 301 of Title 49 and to request reports and the production of things. It constitutes a new request for information. GM's failure to respond promptly and fully to this letter could subject GM to civil penalties pursuant to 49 U.S.C. § 30165 or lead to an action for injunctive relief pursuant to 49 U.S.C. § 30163. (Other remedies and sanctions are available as well.) Please note that maximum civil penalties under 49 U.S.C. § 30165 have increased as a result of the recent enactment of the Transportation Recall Enhancement, Accountability, and Documentation (TREAD) Act, Public Law No. 106-414 (signed November 1, 2000). Section 5(a) of the TREAD Act, codified at 49 U.S.C. § 30165(b), provides for civil penalties of up to \$5,000 per day, with a maximum of \$16,050,000 for a related series of violations, for failing or refusing to perform an act required under 49 U.S.C. § 30166. See 49 CFR 578.6 (as amended by 69 Fed. Reg. 57864 (Sept. 28, 2004). This includes failing to respond to ODI information requests.

If GM cannot respond to any specific request or subpart(s) thereof, please state the reason why it is unable to do so. If on the basis of attorney-client, attorney work product, or other privilege, GM does not submit one or more requested documents or items of information in response to this information request, GM must provide a privilege log identifying each document or item

withheld, and stating the date, subject or title, the name and position of the person(s) from, and the person(s) to whom it was sent, and the name and position of any other recipient (to include all carbon copies or blind carbon copies), the nature of that information or material, and the basis for the claim of privilege and why that privilege applies.

GM's response to this letter, in duplicate, together with a copy of any confidentiality request, must be submitted to this office by November 21, 2005. Please refer to PE05-055 in GM's response to this letter. If GM finds that it is unable to provide all of the information requested within the time allotted, GM must request an extension from me at (202) 366-5218 no later than five business days before the response due date. If GM is unable to provide all of the information requested by the original deadline, it must submit a partial response by the original deadline with whatever information GM then has available, even if an extension has been granted.

If GM claims that any of the information or documents provided in response to this information request constitute confidential commercial material within the meaning of 5 U.S.C. § 552(b)(4), or are protected from disclosure pursuant to 18 U.S.C. § 1905, GM must submit supporting information together with the materials that are the subject of the confidentiality request, in accordance with 49 CFR Part 512, as amended (69 Fed. Reg. 21409 et seq; April 21, 2004), to the Office of Chief Counsel (NCC-113), National Highway Traffic Safety Administration, Room 5219, 400 Seventh Street, S.W., Washington, D.C. 20590. GM is required to submit two copies of the documents containing allegedly confidential information (except only one copy of blueprints) and one copy of the documents from which information claimed to be confidential has been deleted.

If you have any technical questions concerning this matter, please call Mark Swanson of my staff at (202) 366-7020.

Sincerely.

Thomas Z. Cooper, Chief Vehicle Integrity Division

Office of Defects Investigation

Enclosure: List of VOQ numbers

PE05-055

The following is a list of ODI reference numbers corresponding to the 42 Vehicle Owner Questionaires (VOQ) for PE05-055

ODI#	001#
10138990	10138091
10134892	10134012
10132242	10131712
10129468	10129184
10128934	10128778
10126095	10125791
10125200	10125129
10125040	10121770
10119857	10118964
<u>101188</u> 13	10117024
10116635	10112435
10112351	10109495
10108044	10108508
10105170	10104858
10101836	10100960
10100434	10100360
10099861	10099571
10094565	10092309
10091455	10088949
10087948	10081103
_10071695	10068166

## GM682 PE05-055

## **ATTACHMENT "1"**